

Faculty of Education
Open Access Policy
Adopted: December 5, 2019

Background/context to the policy

As part of a publicly funded institution, the Faculty of Education (FoE) scholars are committed to enabling the broad and free availability of scholarly works that are of interest and benefit to diverse communities. We also strive to support all FoE professors, instructors, adjuncts, and graduate students (FoE scholars) to be able to share widely their knowledge and expertise using best practices and new publishing models and technologies. These goals are consistent with the University of Victoria's Strategic Framework, and specifically the strategic priorities to "advance research excellence and impact" and "engage locally and globally" (University of Victoria Strategic Framework, 2018, p. 4). We affirm our commitment to academic freedom and the rights of individual scholars to make determinations about how and where they choose to make their work openly accessible.

Many funding agencies, for example, the Heart and Stroke Foundation, NIH, Michael Smith Foundation, Bill Gates Foundation, European Commissions, and the Tri-Agencies have strongly supported the practice of open access. Tri-Agency "grant recipients are required to ensure that any peer-reviewed journal publications arising from Agency-supported research are freely accessible within 12 months of publication" (Government of Canada, 2016). The FoE Open Access Policy and accompanying information will assist FoE scholars to comply with these requirements, while enabling us to improve access to and sharing of research results more widely with all communities.

What are the benefits of open access publication?

One of the primary benefits of open access publication is that it makes research fully and freely available to an increasingly larger group of society. In part, this comes from the reduction of costs; open access means that research is not hidden behind a paywall that requires the user to pay fees in order to read or review the research product(s). Open access also democratizes knowledge by making its potential benefits more easily accessible to a wide range of potential users. As noted in the Tri-Agency Open Access Policy on Publications, "Societal advancement is made possible through widespread and barrier-free access to cutting-edge research and knowledge, enabling researchers, scholars, clinicians, policymakers, private sector and not-for-profit organizations and the public to use and build on this knowledge" (Government of Canada, 2016). Scholars can also benefit from the potential increase in citations that open access publications offer (Piwowar et al, 2018). Institutionally we can benefit from the reduced costs of publication, particularly in paying publication fees and for publisher agreements that effectively limit access to research data for students and faculty alike (Couture, 2017).

What is open access?

Open access (OA) refers to free, unrestricted online access to research and scholarly works such as journal articles, conference papers, books, lessons and curriculum materials, monographs

and edited collected, fiction and poetry, artworks, videos, software, performances or social commentary/editorial publications.

What can be shared?

All types of scholarly outputs and resources are eligible to be included in UVicSpace. For example, peer reviewed scholarly articles, book chapters, conference papers are all included, as are creative works, performance artifacts, artworks, open education resources, teaching materials, videos, blogs, or other forms of electronic publication. Any scholarly product of FoE scholars are eligible for inclusion in UVic's repository.

Policy statement

The Faculty of Education is committed to the democratization of knowledge through open access and recognizes that open scholarship practices foster collaborative, community engaged, and reproducible research. As scholars we commit to improving access to and increasing sharing and exchange of the products of our research and creative works by making them openly accessible.

Policy Scope

All FoE scholars, including professors, instructors, adjuncts, undergraduate and graduate students are included in the scope of this policy.

Exceptions to the Open Access Policy

All publications and scholarly works can be considered under this policy, unless there are circumstances where the FoE author(s) and/or other researcher(s) and/or collaborator(s) and/or partner(s) are unable to make the research or creative works openly accessible.

Options for making scholarly and creative works openly accessible

For existing publications

- Determine if the existing publications or creative venues support open access (<https://sherpa.ac.uk/romeo/>)
 - UVic Libraries can also help navigate discussions with journals and editors about making scholarly or creative works openly accessible. Contact scholcom@uvic.ca to get started.
- Archive accepted manuscript, scholarly or creative work in UVicSpace (<https://dspace.library.uvic.ca/>).
 - To satisfy the policy requirement in one step, FoE scholars can provide an electronic copy of the published or accepted manuscripts or creative works to UVic Libraries. The Libraries will make the works available to the public, taking into consideration requirements for embargo.

For new publications

- Select a journal that publishes with open access options from the start if possible (<https://doaj.org/>)

- UVic Libraries can help navigate discussions with journals and editors about making scholarly or creative works openly accessible. Contact scholcom@uvic.ca to get started.

For general assistance, any questions about how to select publications for open access, or assistance in navigating the library processes described here, please contact the FoE Research and Grants facilitator (edresearch@uvic.ca).

Definitions and other Q and A's are provided in the attached document.

The committee wishes to acknowledge that research data management policies are forthcoming from the Tri-Agencies which may require revisions to this policy specific to making research data openly accessible.

The committee also wishes to acknowledge the leadership provided by a variety of universities and agencies in Canada who have adopted open access policies which were used in the development of this policy, including the University of Toronto, York, UBC, Athabasca, and the federal Tri-Councils.

Open Access Policy FAQs

General Questions	
How does the Policy impact me?	The Policy does not impose any new obligations upon UVic scholars. Rather the Policy allows FoE scholars to choose to submit their scholarly and creative works to UVicSpace (institutional repository) at UVic and/or in an alternative subject repository right away or after a specified time period (embargo).
How does the FoE OA policy affect the freedom of scholars to publish their work?	It doesn't. This policy fully respects your freedom to submit new work to the journal or other publication venues of your choice. However, the policy reminds FoE scholars that they can publish their scholarly work in accessible, open venues. Moreover, the policy signals a commitment by the Faculty of Education to support and encourage scholars electing to publish their work in open access journals, or seeking permission to deposit published scholarly outputs in UVicSpace .

<p>Does the FoE OA policy apply to scholarly and creative works written before the policy was adopted?</p>	<p>The policy applies to new scholarly and creative works. However, you are encouraged to deposit works created prior to the adoption of the policy.</p>
<p>Does the policy apply to co-authored papers?</p>	<p>Yes, the policy applies to co-authored papers where one or more authors is a UVic faculty member or scholar. Joint authors are those who participate as authors in the preparation of the article with the intention that their contributions be merged into inseparable or interdependent parts of the whole. They share ownership of copyright in the resulting work and, in Canada.</p>
<p>When do I submit my scholarly and creative works to UVicSpace, UVic’s institutional repository?</p>	<p>Scholarly articles should be submitted to UVicSpace by the date of publication whenever possible. Previously published scholarly and creative works can be uploaded at any time. Please reference any embargo periods required by your publisher. Public access to the article will be automatic following the embargo end date.</p>

Open Access Terminology

<p>Open Access</p>	<p>In accordance with the Budapest Open Access Initiative, “open access” to research scholarship is understood to mean “its free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of these articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself. The only constraint on reproduction and distribution, and the only role for copyright in this domain, should be to give authors control over the integrity of their work and the right to be properly acknowledged and cited.”</p>
<p>What is the definition of a Green Open Access publication (GREEN OA)</p>	<p>Green open access refers to the accepted manuscript version of your paper (i.e., after post-review and corrections have been made). This version does not include any publisher branding and can be posted in UVicSpace so that it is freely available for anyone to access.</p>

<p>What is the definition of a Gold Open Access (GOLD OA) publication</p>	<p>Gold open access makes the final version of the article freely and permanently accessible to everyone, immediately after publication. Copyright to the article is usually retained by the author(s) and most of the permission barriers have been removed. The author(s) has paid an article processing charge (APC) to make these articles GOLD open access.</p> <p>GOLD OA articles may be published in fully OA journal (i.e., where all the content is immediately accessible) or in hybrid journals (i.e., a subscription-based journal that offers an OA option to authors).</p>
<p>What is an institutional repository?</p>	<p>An online collection of the scholarship of an institution's scholars. Institutional repositories both preserve the intellectual output and allow for wide distribution. UVic's institutional repository is UVicSpace. Institutional repositories are also called research repositories.</p>
<p>UVicSpace (Institutional Repository)</p>	<p>This is the name of the UVic's open access repository.</p>
<p>Author addendum</p>	<p>A legal instrument that modifies the publisher's copyright transfer agreement and allows you to keep the rights to your article(s). E.g. Canadian Author Addendum to Publication Agreement.</p>

Policy Implications

<p>Does this policy affect tenure and promotion?</p>	<p>No. Faculty of Education scholars still choose where and when to publish. Scholars may self-archive their articles in an open access repository of their choice. The policy does not require deposit in the institutional repository in order for research articles to be considered for promotion, tenure, or other forms of internal assessment and review.</p>
<p>Does this policy affect the freedom of researchers to publish their work in a journal of their choosing?</p>	<p>No. This policy fully respects researcher freedom to submit new work to the journals of their choice. However, the policy reminds scholars that they need not work as authors, editors, or referees for publishers who act against their interests (in particular, their interest in having their research read). Moreover, the policy signals a commitment by the Faculty of Education to support and encourage scholars electing to publish their work in open access journals, or seeking</p>

	permission to deposit published scholarly outputs in UVicSpace .
What kinds of works would this policy apply to?	The policy applies to “scholarship,” as it is typically presented in peer-reviewed scholarly articles, book chapters, and conference proceedings. However, faculty are encouraged to enhance discoverability and preservation of all scholarly outputs (books, edited collections, magazine articles, social media commentary, lecture notes, lecture videos, or other such works) and the democratization of knowledge by making their work freely available online.
Who will be responsible for interpreting the Faculty of Education’s Open Access Policy?	The Faculty of Education, Associate Dean Research, in consultation with the University Librarian, will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to Policy periodically.
Is UVic taking the rights to my work?	No. All rights to the work remain with the author. Under the Faculty of Education’s Open Access Policy, authors grant specific non-exclusive permissions to UVic, such as the right to make the article available in UVicSpace (UVic’s institutional repository) and to migrate the work to newer formats as they develop for purposes of preservation.

Graduate Students

I’m a graduate student in the FoE - does this policy apply to me?	Yes. The FoE Open Access policy applies to graduate students.
Will making my scholarly and creative works openly accessible affect my academic career aspirations?	No. However, it is certainly possible that making your scholarly and creative works openly accessible may broaden the reach and impact of your research, and this may well strengthen your profile as an emerging researcher.
I can’t afford to pay open access publication fees - what can I do?	Begin by looking for an open access journal; many don’t charge fees. For example, the Canadian Journal of Education is a fully open access journal and no fees are charged. You can also upload post-publication versions of your scholarly and creative works in UVicSpace.
Can I deposit my work in UVicSpace?	Yes! We welcome graduate student publications in UVicSpace.

Who can help me develop my scholarly and/or creative portfolio?	A good place to start is with your graduate supervisor and committee; you can also contact either the Associate Dean, Graduate Programs or the FoE Research and Grant Facilitator (edresearch@uvic.ca)
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Last Updated November 27, 2019

References

Couture, M. (July 12, 2017). Academic publishing at a crossroads: The shift towards open access is an opportunity to reform academic publishing to better serve the public interest. *University Affairs*. <https://www.universityaffairs.ca/opinion/in-my-opinion/academic-publishing-crossroads/>

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