UNIVERSITY OF WORCESTER OPEN ACCESS PUBLISHING POLICY

INTRODUCTION

An important policy from HEFCE published in March 2014 requires peer reviewed journal articles and conference proceedings to be made open access upon publication, in order to be eligible for submission to the post-2014 REF.

Increasing numbers of research funders also require published outputs arising from their funding to be made open access.

Open Access publication can follow 2 main routes:

- 'Green', where the author publishes in a traditional journal and self archives a copy of the publication in a repository
- 'Gold', involving publishing in an open access journal. This may incur an Article Processing Charge (APC)

The purpose of this policy is to outline the University's approach and requirements with regards to Open Access publishing. These are intended to allow the institution, its researchers, and the wider community to benefit from higher visibility of UoW research outputs, whilst ensuring that funder requirements are fully met.

SCOPE

The policy applies to all University staff and research students.

THE POLICY

1. **The University and Open Access**

   1.1. The University of Worcester is committed to making as many as possible of its published research outputs Open Access. It recognises that as well as meeting funder requirements, Open Access helps academics raise their research profile and enhances the impact of University of Worcester research.

   1.2. The University recommends 'Green' Open Access via the institutional repository WRaP, as the usual route.

   1.3. The University will support the cost of some Gold Article Processing Charges, through the provision of a central fund. Applications made to the fund will be assessed against published criteria. Authors are encouraged to include the cost of APCs in research bids where possible.

   1.4. It is the responsibility of the individual researcher to ensure they comply with the HEFCE policy and any funding requirements regarding Open Access

   1.5. The University will provide guidance and support with the above wherever possible.

   1.6. These guidelines will be regularly reviewed in light of evolving funder mandates and requirements.

2. Requirements for University of Worcester authors

2.1. It was agreed at the Academic Board in April 2012 that all REF 2014 submissions and all other research outputs produced after September 2010 should be deposited in WRaP by July 2013.

2.2. With effect from July 2014, University staff and research students are **required** to deposit in WRaP the final, refereed and corrected, accepted versions of their peer-reviewed journal articles and peer-reviewed conference proceedings. Deposit must be made **no later than three months** after acceptance for publication. (This will ensure compliance with the HEFCE policy). WRaP staff can help authors ensure that publisher embargoes are observed.

2.3. Authors are **encouraged** to deposit other forms of output such as books, book chapters, reports, working papers, image, video and audio representations of creative works.

2.4. Authors are **encouraged** to add their back catalogue to the repository, so there is a comprehensive record of the University's research activity.

Date Approved	*Day Month Year*
Contact Officer	*Director of Research Development*
Approval Authority	*Research and Knowledge Transfer Committee*
Date of Commencement	*July 2014*
Amendment Dates	
Date for Next Review	*July 2015*

Related Policies, Procedures, Guidance, Forms or Templates	*Procedures:*
	  • *Application for Open Access Fees*
	Guidance:
	  • *Open Access Publishing – Green or Gold?*
	  • *FAQs (to be written)*

Policy/Policies Superseded by this document	*None*