

# The University of Queensland

## UQ Policy and Procedures Library

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## 4.20.08 Open Access for UQ Research Publications

### Policy

### Procedures

## Open Access for UQ Research Publications - Policy

 (<https://ppl.app.uq.edu.au/print/node/1613>)

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<b>Notes:</b>	June 2017 - Nomenclature updated

[+] Feedback

## 1. Purpose and Objectives

This policy sets out the requirements for University of Queensland researchers to make publications arising from their research openly available via UQ's institutional repository, [UQ eSpace \(http://espace.uq.edu.au/\)](http://espace.uq.edu.au/), as soon as possible following acceptance of the publication, taking into account any restrictions imposed by the publisher and adhering to the specific requirements of the relevant funding body/bodies.

## 2. Definitions, Terms, Acronyms

**Affiliates** - visiting academics, academic title holders, industry fellows, emeritus professors, adjunct and honorary title holders, and conjoint appointments

**Author accepted manuscripts (or post-prints)** - the manuscript of an article that has been accepted for publication and which typically includes author-incorporated changes suggested during submission, peer review, and editor-author communications.

**Authors** - staff, students and affiliates of The University who are authors on publication

**Open Access** - digital content that is freely available via the Internet, permitting any user to read, download, copy, distribute, print, search or link to.

**Publication** - dissemination of research findings, whether in hardcopy, electronic or other tangible form, including: making them available in refereed and non-refereed books or journals; conference presentations, papers, proceedings and posters and creative works with a research component

**University** - The University of Queensland

## 3. Policy Scope/Coverage

This policy applies to all UQ authors including staff and students, irrespective of their work location. It also applies to all University affiliates, where a UQ attribution is appropriate in the publication in question (for example, where UQ resources and facilities have been used).

This policy requires that UQ-authored publications be made openly accessible only if doing so does not contravene any other legal obligation on the part of the author or the University. Higher Degree by Research theses are outside the scope of this policy.

## 4. Policy Statement

The University supports the underlying principles of open access by making publications freely available online as soon as possible or within twelve (12) months of publication. This policy is based on the [ARC Open Access Policy \(http://www.arc.gov.au/arc-open-access-policy\)](http://www.arc.gov.au/arc-open-access-policy) and the [NHMRC Dissemination of Research Findings \(http://www.nhmrc.gov.au/grants/policy/dissemination-research-findings\)](http://www.nhmrc.gov.au/grants/policy/dissemination-research-findings). Open Access compliance requirements of funding bodies can vary significantly, and all UQ authors are contractually bound to comply with the relevant OA policies of their funding contracts where those requirements are more restrictive than this policy. Those requirements may extend to the data underlying/supporting a publication being openly accessible.

The University is a generator of new knowledge and contributor to scholarly outputs. It is committed to ensuring that all outputs are disseminated as broadly as possible, where legally possible. This will allow the University to adhere to open-access policies of state, national and international granting bodies and also ensure the results of research are made available to the public, industry and researchers worldwide, for the benefit of society. This policy will also ensure that The University is recognised for its research and teaching which will accelerate research breakthroughs and innovation leading to economic growth.

This policy will be incorporated into all UQ Funding Rules and Agreements released after 1 January 2014.

## 5. Author Responsibilities

5.1 All UQ authors should adhere to the open access requirements of relevant funding bodies. Information on funders' policies is available at <http://www.sherpa.ac.uk/juliet/> (<http://www.sherpa.ac.uk/juliet/>), however UQ authors should refer to their funding agreements to confirm specific requirements.

5.2 All UQ authors have individual responsibility to ensure that their publications adhere to this policy, however, it is understood that some authors may not be able to meet the requirements because of legal or contractual obligations. In these cases, an explanatory note can be attached to the bibliographic metadata in UQ eSpace.

5.3 Many publishers allow authors to make author-accepted manuscripts (author post-prints) freely available immediately or within twelve (12) months after publication. If publishers do not allow self-archiving, UQ authors are encouraged to request that the official UQ self-archiving addendum be included in the publishing contract:

**'The Author has the right to publicly archive their revised, peer-reviewed personal version of their paper on their institutional website and their personal website, provided in all cases a link to the journal article on the Publisher website is included.'**

Alternatively an addendum specific to the funding body (such as the [NHMRC \(http://ppl.app.uq.edu.au/glossary/term/64\)](http://ppl.app.uq.edu.au/glossary/term/64) or the [ARC \(http://ppl.app.uq.edu.au/glossary/term/63\)](http://ppl.app.uq.edu.au/glossary/term/63) ) can be used.

5.4. All UQ authors should ensure that collaborating authors are aware of this policy and ensure that any copyright material is acknowledged in the publication.

5.6 Where a publication has more than one UQ author, only one UQ author is required to take responsibility for ensuring the policy is met.

## 6. Copyright and Creative Commons

6.1 The University of Queensland recommends the transfer of copyright to the publisher be avoided. Where appropriate, authors are encouraged to negotiate copyright terms with publishers and make their publications available under a Creative Commons licence.

6.2 When the publisher does not allow archiving, reuse and sharing, UQ authors are encouraged to add the official UQ self-archiving addendum to a publishing contract, available at: <https://www.library.uq.edu.au/research-support/open-access> (<https://www.library.uq.edu.au/research-support/open-access>) .

### Custodians

Deputy Vice-Chancellor (Research)	Professor Robyn Ward	<a href="mailto:dvc.research@uq.edu.au">dvc.research@uq.edu.au</a> ( <a href="mailto:dvc.research@uq.edu.au">mailto:dvc.research@uq.edu.au</a> )
University Librarian	Mr Robert Gerrity	<a href="mailto:universitylibrarian@library.uq.edu.au">universitylibrarian@library.uq.edu.au</a> ( <a href="mailto:universitylibrarian@library.uq.edu.au">mailto:universitylibrarian@library.uq.edu.au</a> )